



DEPARTMENT OF THE NAVY
OFFICE OF THE CHIEF OF NAVAL OPERATIONS
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OPNAV INSTRUCTION 5420.116

From: Chief of Naval Operations

Subj: NAVY NUCLEAR COMMAND, CONTROL, AND COMMUNICATIONS
EXECUTIVE STEERING COMMITTEE

Ref: (a) CJCSI 6811.01C (SECRET) (NOTAL)
(b) CJCSI 3411.01D
(c) SECNAVINST 8120.1B
(d) OPNAVINST 8120.1
(e) CJCSI 5119.01C
(f) OPNAVINST 5450.338
(g) Navy Nuclear Weapons Oversight Council Charter,
6 September 2013
(h) National Leadership Command Capabilities
Executive Management Board Charter, 8 June 2009

1. Purpose. To establish the Navy Nuclear Command, Control, and Communications (NC3) Executive Steering Committee (ESC).

2. Background

a. Task Force Urgent Sentinel was established in April 2012 by U.S. Fleet Cyber Command to address emergency action message (EAM) delivery reliability. This instruction replaces the warning order establishing Task Force Urgent Sentinel with a permanent Navy NC3 end-to-end governance authority.

b. Navy NC3 is defined as the Navy-controlled nuclear command, control and communications assets (platforms, nodes and systems) that provides connectivity from the President and Secretary of Defense through the National Military Command System to nuclear execution forces. It includes systems that support survivable and non-survivable force direction (i.e., EAM), force management, planning, situation monitoring, decision making, conferencing, retargeting, and force report back. Systems supporting the Navy NC3 must provide assured connectivity in all environments to accomplish necessary functions among the NC3 decision makers, surviving facilities, and nuclear forces.

c. Reference (a) is the NC3 technical performance criteria; reference (b) institutes procedures for informing the National Military Command Center of the location of designated key personnel; reference (c) delineates policy, responsibilities and authorities for the safe, secure, and reliable stewardship of the Department of the Navy nuclear weapons and nuclear weapons systems; reference (d) assigns specific responsibilities and establishes supported and supporting relationships among Navy organizations that support the Navy's nuclear deterrence mission; reference (e) is the charter for the centralized direction, management, operation, and technical support of the NC3 system; reference (f) is the missions, functions and tasks of the Chief of Naval Operations.

3. Scope

a. The ESC will function as a senior level decision body to review and adjudicate Navywide NC3 operations, policy, training, materiel, and other readiness issues per references (a) through (h). The mission of the Navy NC3 ESC is to provide guidance and oversight for the Navy's portion of the greater Department of Defense NC3 system across the full range of doctrine, organization, training, materiel, personnel, facilities, and leadership and education through processes that improve confidentiality, integrity, availability, authenticity, and non-repudiation. The Navy NC3 ESC will act as the Navy's NC3 consolidated operational voice in evaluating planned changes and capability development.

b. The Navy NC3 ESC will oversee overall operation, sustainment, and requirements implementation of the Navy NC3 architecture.

4. Responsibilities

a. Commander, Fleet Cyber Command (COMFLTCYBERCOM) will establish and chair the Navy NC3 ESC.

b. Commander Fleet Forces Command and Commander U.S. Pacific Fleet will advise the NC3 ESC on Navy NC3 readiness issues.

c. Commander, Submarine Force Atlantic (COMSUBLANT); Commander, Submarine Force U.S. Pacific (COMSUBPAC); Commander,

Naval Air Force, U.S. Pacific Fleet (COMNAVAIRPAC); and Commander, Navy Information Dominance Force (COMNAVIDFOR) will advise the NC3 ESC on Navy NC3 operational readiness issues.

d. The Navy NC3 chief engineer will advise the NC3 ESC on NC3 systems test, integration, certification, and accreditation standards, tools and processes in conformance with higher authority policies, requirements, and standards.

e. The Navy NC3 ESC will keep the Navy Nuclear Deterrent Mission Oversight Council (NNDMOC) and Director, Strategic Systems Programs (DIRSSP) informed on all NC3 operations, policy, training, materiel, and other readiness issues.

f. The NC3 ESC may direct the formation of committees or other groups from among its member organizations as necessary to conduct its business. Such groups may be formed at either the supervisory or the working level, as required.

g. The NC3 ESC should convene semi-annually, or more often as Navy NC3 policy, training, materiel, and other operational issues dictate.

5. Membership

a. The organizations listed in subparagraphs 5a(1) through 5a(15) are principal member commands of the Navy NC3 ESC and will support COMFLTCYBERCOM with the establishment of the ESC.

(1) Deputy Chief of Naval Operations for Information Dominance (CNO N2/N6);

(2) Deputy Chief of Naval Operations for Fleet Readiness and Logistics (CNO N4);

(3) Commander, U.S. Fleet Forces Command;

(4) Commander, U.S. Pacific Fleet;

(5) COMFLTCYBERCOM (chair);

(6) DIRSSP;

(7) Commander, Naval Air Systems Command;

- (8) Commander, Space and Naval Warfare Systems Command;
- (9) Commander, Naval Surface Forces;
- (10) Commander, Naval Air Forces;
- (11) COMSUBLANT;
- (12) COMSUBPAC;
- (13) COMNAVIDFOR;

(14) Program Executive Office for Command, Control, Communications, Computers and Intelligence; and

(15) Program Executive Office for Air Assault and Special Mission Programs.

b. In addition to the voting members identified in subparagraph 5a, additional organizations may be invited to participate in ESC activities. These non-voting participants make critical contributions to ESC deliberations by providing subject matter expertise. Non-voting participants include:

- (1) Deputy Under Secretary of the Navy (Policy);
- (2) Deputy Chief of Naval Operations for Operations, Plans, and Strategy (CNO N3/N5);
- (3) Commander, Navy Installations Command;
- (4) Commander, Naval Facilities Engineering Command;
- (5) Commander, Naval Sea Systems Command; and
- (6) Commander, Naval Undersea Warfare Center.

c. The Navy NC3 ESC will cooperate with other non-Navy NC3 operational and technical authorities per existing directives. These entities include, but are not limited to:

- (1) Joint Staff;

(2) National Leadership Command Capabilities Executive Management Board, Senior Steering Group, and working groups;

(3) United States Strategic Command;

(4) National Security Agency;

(5) Defense Information Systems Agency;

(6) Strategic and National Command, Control, Communications and Intelligence Joint Systems Engineering and Integration Office; and

(7) NC3 staff of the Military Services.

d. If a principal member is absent, that member may be represented by their flag officer or senior executive service (SES) deputy to include voting privileges. On the occasion when both the principal and deputy are absent, the principal may designate a chartered voting member flag officer or SES to represent them, to include voting privileges.

e. COMFLTCYBERCOM will appoint an NC3 ESC executive secretary from the COMFLTCYBERCOM staff. The NC3 ESC executive secretary must perform the tasks necessary to ensure proper execution of the NC3 ESC responsibilities. This includes developing and maintaining an administrative guide; scheduling meetings and taking minutes; coordinating attendance; drafting, disseminating and tracking ESC decisions; and maintaining an archive of ESC briefs, decisions, and meeting minutes.

6. Issue Referral. The Chief of Naval Operations, Vice Chief of Naval Operations, NNDMOC, DIRSSP, or any member of the NC3 ESC may refer issues to the NC3 ESC for consideration.

7. Records Management. Records created as a result of this instruction, regardless of media and format, must be managed per Secretary of the Navy Manual 5210.1 of January 2012.



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for Information Dominance

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